



Alethex Beta Software

The **first** integrated solution to IT governance for small-to-midsize institutions.

Small-to-midsize financial institutions need another choice. That's why we're building Alethex: an economical IT governance platform packed with role-based discrete functionality necessary to protect information and technology across various lines of business. Alethex is reinventing the governance, risk, and compliance industry to meet the resource constraints of small-to-midsize financial institutions. As a member of the Alethex Beta Software community, you will participate in shaping the platform and influencing a growing set of functionalities.

Alethex is architected for performance, productivity, and cross-department collaboration. The initial module, **Alethex Information Security (IS)** is an IT governance platform based on the FFIEC Information Security handbook and industry best practices. The system translates pages of information into a format every manager understands and knows how to act on.

Our platform integrates major business processes to transfer governance activities to business lines and support functions where risk decisions are made. Automation and routing enforce adherence to operational processes. The combination of these functions results in a more comprehensive and reliable risk assessment to enable senior managers to take the necessary measures to protect the institution.

ABOUT ALETHEX (IS)

It is an all-in-one toolset for senior management and other process owners who have a role in protecting the institution's information and systems. The module includes five main functions:

DASHBOARD: Central Oversight and Coordination

The information security management responsibilities may be distributed across various lines of business creating a challenge for smaller institutions. The Alethex (IS) **Dashboard** consolidates what needs to be done, what hasn't been done, and highlights any issues requiring immediate attention all in a one centralized location.

ASSESSMENTS: Identify and Characterize Threats

The information security program should support the IT risk management process by identifying threats, measuring risk, defining information security requirements, and implementing controls. The **Assessment** function converts the FFIEC Cyber Assessment Tool into an online survey with the ability to save your progress. Once the assessments are completed the results are documented and archived. This function gives management the ability to identify and characterize the threats, assess the risks, and make decisions regarding the implementation of appropriate controls.

TASKS: Program Adherence and Accountability

Information security activities and controls should be integrated throughout the institution's business processes with clear accountability. Alethex (IS) Tasks function takes the responses generated from the Inherent Risk Profile and Cyber Maturity Level assessments and filters the list of controls into the minimum mandatory requirements. The controls are converted into tasks and automatically routed to the minimum mandatory requirements. The controls into the minimum mandatory requirements. The controls are converted into tasks and automatically routed to the appropriate process owner to provide clear segregation of duties. Visibility of all the tasks helps management understand information security priorities and the necessary resources needed for developing, implementing, and maintaining the information security program.

EVENTS: Continual Program Review

The board and management should continually review the institution's security posture and react appropriately in the face of rapidly changing threats, technologies, and business conditions. Management should provide a report to the board at least annually that describes the overall status of the program and material matters related to the program. The **Event** function monitors and manages these recurring activities to ensure important details are not missed.

REPORTS: Risk Monitoring and Audit Documentation

The board and senior management are responsible for the adequacy of the institution's culture, governance, information security program, security operations, and assurance processes. The **Reports** function provides essential reports for management and processes owners to monitor their individual responsibilities. A data trail is captured for Auditors to assess the effectiveness of, and compliance with, policies, standards, and procedures.

ABOUT THE BETA PROGRAM

Membership Eligibility

In order to become a member of the Alethex Beta Community, you must meet the minimum requirements:

1. You are a decision maker responsible for protecting sensitive information.
2. You have an email address containing an active bank or credit union domain.

3. You are currently working or have worked at a small-to-midsize financial institution (Asset Size=<\$10B).

If you meet the criteria, contact one of our approved Alethex partners. We only receive applicants submitted by our partners. Once a request is approved you will receive a program acceptance email.

This email will verify your participation level and include additional beta program information.

Program Participation Levels

There are three beta participation options. Each level is determined on a first come first serve basis.

Pre-Beta Access Level

Target Dates: 3/18/19 – 6/21/19

Spots Available: 5

Pre-beta users participate in the earliest user testing to help shape the entire experience of the initial beta release. Pre-beta also includes access to the fully functional beta software. At the end of the beta period, participants receive a promo code for 50% off the beta software price*.

Beta Access Level

Target Dates: 6/3/19 - 6/21/19

Spots Available: 10

The beta release is a fully functional version of the software. Beta users will participate in user testing, agree to completing various tasks, and providing feedback. At the end of the beta, participants receive a promo code for 30% off the beta software price*.

Early Adopter Level

Target Dates: 7/1/19 – 7/31/19

Spots Available: 10

Participants will be the first to use the software before it is released to the general public. Early adopters receive the special beta software price and a promo code for 10% off*.

** The standard price may increase based on data collected during the beta phase. Eligibility for beta software pricing ends 12/31/19.*

APPROVED ALETHEX PARTNERS

Stickley on Security

(800) 640-6746